

01-11-02

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 065-0002

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

5579

First Inventor

KRYSIK, DAWN L.M.

Title

LAMINATED MULTI-LAYERED CEREAL PRODUCTS AND  
METHODS OF PREPARATION

Express Mail Label No.

ET134564763US

## APPLICATION ELEMENTS

SEE MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

US Patent and Trademark Office  
PO Box 2327  
Arlington, VA 22202

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 21]  
(preferred arrangement set forth below)  
-Descriptive title of the invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed sponsored R & D  
-Reference to sequence listing, a table,  
or a computer program listing appendix  
-Background of the Invention  
-Brief Summary of the Invention  
-Brief Description of the Drawings (if filed)  
-Detailed Description  
-Claim(s)  
-Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Pages: 2]
5. Oath or Declaration [Total Pages: 2]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
Named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS Citations  
Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(Insert Customer Number or Bar Code Label here)

or ☐ Correspondence address below

Name

GENERAL MILLS

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City

Minneapolis

State

MN

Zip Code

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Country

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Telephone

763-764-2422

Fax

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Name

John A. O'Toole

Registration No. (Attorney/Agent)

28,336

Signature

John A. O'Toole

Date

January 8, 2002

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30173

PATENT &amp; TRADEMARK OFFICE

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

**Complete if Known**

|                      |                   |
|----------------------|-------------------|
| Application Number   | N/A               |
| Filing Date          |                   |
| First Named Inventor | KRYSIK, DAWN L.M. |
| Examiner Name        |                   |
| Group Art Unit       |                   |
| Attorney Docket No.  | 5579              |

**TOTAL AMOUNT OF PAYMENT** (\$ 1,410.00)**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
Deposit Account Number 07-0900  
Deposit Account Name  
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant Claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:☐ Check ☐ Credit Card ☐ Money ☐ Other Order**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Code | Small Entity Code | Fee (\$) | Fee Description        | Fee Paid |
|-------------------|-------------------|----------|------------------------|----------|
| 101               | 201               | 740      | Utility filing fee     | 740      |
| 106               | 206               | 330      | Design filing fee      |          |
| 107               | 207               | 510      | Plant filing fee       |          |
| 108               | 208               | 740      | Reissue filing fee     |          |
| 114               | 214               | 180      | Provisional filing fee |          |

**SUBTOTAL (1)** (\$ 740)**2. EXTRA CLAIM FEES**

| Extra Claims        |                   | Fee from below  | Fee Paid   |
|---------------------|-------------------|-----------------|--|
| Total Claims        | 50 - 20** = 30    | x 18 =          | 540  |
| Indep. Claims       | 2 - 3** =         | x =             |  |
| Multiple Dependent  |                   |                 |  |
| Large Entity Code   | Small Entity Code | Fee (\$)        | Fee Description  |
| 103                 | 203               | 18              | Claims in excess of 20                                     |
| 102                 | 202               | 84              | Independent claims in excess of 3                          |
| 104                 | 204               | 280             | Multiple dependent claim, if not paid                      |
| 109                 | 209               | 84              | ** Reissue independent claims over original patent         |
| 110                 | 210               | 18              | ** Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2)</b> |                   | <b>(\$ 540)</b> |  |

\*\*or number previously paid, if greater; For Reissues, see above.

**3. ADDITIONAL FEES**

|                     |       |     |       | Fee Description  | Fee Paid |
|---------------------|-------|-----|-------|--|----------|
| 105                 | 130   | 205 | 65    | Surcharge - late filing fee or oath                              |          |
| 127                 | 50    | 227 | 25    | Surcharge - late provisional filing fee or cover sheet           |          |
| 139                 | 130   | 139 | 130   | Non-English specification  |          |
| 147                 | 2520  | 147 | 2520  | For filing a request for ex parte reexamination                  |          |
| 112                 | 920*  | 112 | 920*  | Requesting publication of SIR prior to Examiner action           |          |
| 113                 | 1840* | 113 | 1840* | Requesting publication of SIR after Examiner action              |          |
| 115                 | 110   | 215 | 55    | Extension for reply within first month                           |          |
| 116                 | 400   | 216 | 200   | Extension for reply within second month                          |          |
| 117                 | 920   | 217 | 480   | Extension for reply within third month                           |          |
| 118                 | 1440  | 218 | 720   | Extension for reply within fourth month                          |          |
| 128                 | 1960  | 228 | 980   | Extension for reply within fifth month                           |          |
| 119                 | 310   | 220 | 155   | Notice of Appeal   |          |
| 120                 | 310   | 220 | 155   | Filing a brief in support of an appeal                           |          |
| 121                 | 270   | 221 | 135   | Request for oral hearing   |          |
| 138                 | 1510  | 138 | 1510  | Petition to institute a public use proceeding                    |          |
| 140                 | 110   | 240 | 55    | Petition   | 130      |
| 141                 | 1240  | 241 | 620   | Petition to revive - unintentional                               |          |
| 142                 | 1280  | 242 | 620   | Utility issue fee (or reissue)                                   |          |
| 143                 | 440   | 243 | 220   | Design issue fee   |          |
| 144                 | 600   | 244 | 300   | Plant issue fee  |          |
| 146                 | 710   | 246 | 355   | Filing a submission after final rejection (37 CFR § 1.129 (a))   |          |
| 149                 | 710   | 246 | 355   | For each additional invention to be examined (37 CFR § 1.129(b)) |          |
| 179                 | 710   | 279 | 355   | Request for Continued Examination (RCE)                          |          |
| 169                 | 900   | 169 | 900   | Request for expedited examination of a design application        |          |
|                     |       |     |       | Advance Copies   |          |
| Other fee (specify) |       |     |       |  | 130      |

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ 130)**SUBMITTED BY**

| Name (Print/Type) | John A. O'Toole        | Registration No. | 28,336 | Telephone | 763-764-2422 |
|-------------------|------------------------|------------------|--------|-----------|--------------|
| Signature         | <i>John A. O'Toole</i> | (Attorney/Agent) |        | Date      | 7/18/00      |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re.: Patent Application of  
KRYSIK, DAWN L.M.  
ALLEN, PATRICK E.  
KIRIHARA, TERRY T.

Filed: January 8, 2002

For: LAMINATED MULTI-LAYERED CEREAL PRODUCTS  
AND METHODS OF PREPARATION

Docket No.: 5579

PETITION UNDER 37 CFR § 1.84(b)(1)  
FOR ACCEPTANCE OF PHOTOGRAPHS

OFFICIAL DRAFTSMAN  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In accordance with MPEP § 608.002 and 37 CFR §§184(b)(1), Applicants respectfully request that the photographs submitted herewith for the above-identified patent application be accepted as formal drawings. The photographs illustrate aspects of the invention which can not be accurately or adequately depicted by ink drawings.

Applicant has enclosed a check in the amount of \$130.00 to pay the fee set forth under 37 CFR § 1.17(I). The Assistant Commissioner is hereby authorized to charge Deposit Account No. 07-0900 for any additional fees deemed necessary.

01/16/2002 HMOHAMMI 00000028 070900 10042835  
03 FC:122 130.00 CH

The PTO did not receive the following  
listed item(s) check \$130.00



30173

PATENT & TRADEMARK OFFICE

Respectfully submitted,

KRYSIK, DAWN L.M.  
ALLEN, PATRICK E.  
KIRIHARA, TERRY T.

I hereby certify that this document is  
being deposited with the United States  
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January 8, 2002  
*Blenda Kipp*  
Signature

By *John A. O'Toole* 1/8/02  
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